$\begin{array}{ll}\text { Puc } 1604.01 \text { (a) (15) } \quad \begin{array}{l}\text { Lists of the amount of voting stock of the utility } \\ \text { categorized as follows: } \\ \text { a. Owned by an officer or director individually; } \\ \text { b. Owned by the spouse or minor child of an officer } \\ \text { or director; or } \\ \text { c. Controlled by the officer or director directly or indirectly; }\end{array} \\ \text { Response: } & \begin{array}{l}\text { All PSNH stock is held by the parent company. All stock. } \\ \text { issued and held is NU common stock. Some of the } \\ \text { information requested is prepared on an annual basis } \\ \text { as part of year-end filing requirements with the SEC and } \\ \text { included in the Annual Reports on Form 10-K for NU } \\ \text { and PSNH. Copies of these documents have been filed } \\ \text { with the Commission and are hereby incorporated into } \\ \text { this response by reference. }\end{array}\end{array}$

PSNH will be filing separately, under a motion for protective order, the required information for those individuals whose stock ownership is not disclosed in regulatory filings. This filing will be consistent with the requirements established by the Commission in its ruling in docket DE 03-200.

## COMMON SHARE OWNERSHIP OF CERTAIN BENEFICIAL OWNERS

The following table provides, as of March 16, 2009, information as to persons who are known to us to beneficially own more than five percent of the common shares of Northeast Utilities. We do not have any other class of voting securities.

| Name and Address of Beneficial Owner | Amount and Nature of Beneficial Ownership | Percent of Class |
| :---: | :---: | :---: |
| Wellington Management Company, LLP................ | 13,231,050 ${ }^{(1)}$ | 8.5\% ${ }^{(1)}$ |
| 75 State Street |  |  |
| Boston, Massachusetts 02109 |  |  |

(1) Based on a Statement on Schedule 13G filed with the Securities and Exchange Commission by Wellington Management Company, LLP on February 17, 2009, reporting that as of December 31, 2008, Wellington Management, in its capacity as investment adviser, may be deemed to beneficially own $13,231,050$ common shares, which are held of record by clients of Wellington Management. Of these shares, Wellington Management has the shared power to vote or direct the vote of $9,605,150$ common shares and the shared power to dispose or direct the disposition of all of these common shares. There were $155,834,361$ common shares outstanding at December 31, 2008.

## COMMON SHARE OWNERSHIP OF TRUSTEES AND MANAGEMENT

The table below shows the number of our common shares beneficially owned as of March 16, 2009 by each of our Trustees and each executive officer named in the Summary Compensation Table, as well as the number of common shares beneficially owned by all of our Trustees, and the executive officers as a group. Trustees and executive officers are subject to share ownership guidelines that are described in greater detail elsewhere in this Proxy Statement.

| Name | Amount and Nature of Beneficial Ownership ${ }^{(1)}$ |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Shares | Options ${ }^{(2)}$ | Total | Percent of Class | $\begin{aligned} & \text { Restricted } \\ & \text { Share Units. }{ }^{(3)} \end{aligned}$ |
| Richard H. Booth ................... | 1,000 | 5,000 | 6,000 | * | 24,960 |
| Gregory B. Butler .................. | 37,031 ${ }^{(4)(5)(6)}$ | 0 | 37,031 | * | 37,816 |
| John S. Clarkeson ................. | 4,052 | 0 | 4,052 | * | 3,000 |
| Cotton M. Cleveland.............. | 7,732 | 7,500 | 15,232 | * | 22,908 |
| Sanford Cloud, Jr. ................. | 23,799 | 0 | 23,799 | * | 10,565 |
| James F. Cordes .................... | 12,903 | 5,000 | 17,903 | * | 16,230 |
| E. Gail de Planque ................ | 21,432 | 7,500 | 28,932 | * | 6,510 |
| John G. Graham .................... | 600 | 0 | 600 | * | 26,779 |
| Elizabeth T. Kennan .............. | 4,638 | 0 | 4,638 | * | 25,421 |
| Jean M. LaVecchia ............... | 13,516 ${ }^{(5)}$ | 22,900 | 36,416 | * | 15,591 |
| Kenneth R. Leibler................ | 6,932 | 0 | 6,932 | * | 3,801 |
| David R. McHale ................. | 23,527 ${ }^{(5)(6)(7)}$ | 0 | 23,527 | * | 37,270 |
| Leon J. Olivier ..................... | 27,975 ${ }^{\text {(5) }}$ | 0 | 27,975 | * | 41,927 |
| Robert E. Patricelli................ | 36,903 | 7,500 | 44,403 | * | 3,000 |
| Charles W. Shivery ................ | 50,167 ${ }^{(5)(8)}$ | 29,024 | 79,191 | * | 353,598 |
| John F. Swope...................... | 11,381 | 7,500 | 18,881 | * | 21,871 |
| All Trustees and Executive Officers as a Group (18 persons) |  |  |  |  |  |
| (18 persons) ........................ | 290,775 | 91,924 | 382,699 | * | 673,047 |

[^0]|  |  |  | U Com | on Sha |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 12/31 |  |  |  | 12/31/2 |  |  |
|  | BONY | Fid Brok | ESOP | Total | BONY | Fid Brok | ESOP | Total |
| Shivery |  | 41,050 | 1,225 | 42,275 |  | 46,183 | 1,443 | 47,626 |
| Olivier | 1,989 | 8,007 | 1,113 | 11,109 | 1,989 | 15,066 | 1,313 | 18,368 |
| Butler |  | 17,729 | 2,772 | 20,501 |  | 24,850 | 2,617 | 27,467 |
| McHale |  | 33 | 4,520 | 4,553 |  | 8,533 | 4,910 | 13,443 |
| Position 1 |  | 7,270 | 2,297 | 9,567 |  | 13,259 | 2,705 | 15,964 |
| Position 2 |  |  | 336 | 336 |  |  | 484 | 484 |
| Position 3 |  |  | 7,204 | 7,204 |  |  | 7,611 | 7,611 |
| Position 4 |  | 9,818 | 3,084 | 12,902 |  | 17,226 | 3,372 | 20,598 |
| Position 5 |  | 2,355 | 956 | 3,311 |  | 5,722 | 1,166 | 6,888 |
| Position 6 |  |  | 3,592 | 3,592 |  |  | 3,898 | 3,898 |
| Position 7 |  |  | 3,471 | 3,471 |  |  | 3,730 | 3,730 |
| Position 8 |  |  | 77 | 77 |  | 1,177 | 263 | 1,440 |
| Position 9 |  |  | 999 | 999 |  |  | 1,194 | 1,194 |
|  |  |  | - | - |  |  | - | - |
| 1815 |  |  |  | - |  |  |  | - |
|  |  |  |  | - |  |  |  | - |
|  |  |  |  | - |  |  |  | - |
|  |  |  |  | - |  |  |  | - |
|  |  |  |  | - |  |  |  | - |
| 13 |  |  |  | - |  |  |  | - |


[^0]:    * Less than $1 \%$ of common shares outstanding.

